

Matrix - Kronos2 User profile descriptions

A user profile is composed of the following 4 elements:

- * the Kronos2 module it can access
- * the instructions it can make
- * the views/enquiries (reports) it can access
- * the notifications it receives in the web inbox

These 4 elements are the building blocks of the user profiles as presented on the next pages.

Note: Prints best in A3 size

USER PROFILE DESCRIPTION
(as per Dec 2016)

	KRONOS2 MODULE	INSTRUCTIONS ALLOWED	VIEWS / AD-HOC ENQUIRIES (AD-HOC REPORTS)	ENQUIRIES STANDARD REPORTS	NOTIFICATIONS RECEIVED IN WEB INBOX PART 1	NOTIFICATIONS RECEIVED IN WEB INBOX PART 2
<p>RTGS Liquidity - monitors bank's liquidity, full access</p>	RTGS module only	<p>Fund settlement instructions Single funds settlement instructions o Receiver accept FSI o Standard FSI - MT202 o Standard FSI - MT103 Intra-account transfer instructions o Intra-account transfer instruction (LOM to LOM) o Intra-account transfer instruction (LOM to RCP Trust) o Intra-account transfer instruction (LOM to RTM) o Intra-account transfer instruction (RTM to LOM) o Intra-account transfer instruction (RTM to RCP Trust)</p> <p>Manage RAFSI accept instruction o RAFSI accept instruction (list and manual) o Remove an accepted RAFSI</p> <p>Role players profile instructions o Maintain role player own profile - e.g. position update interval o Maintain standard report parameters o Maintain an override standing intra-account funding arrangements</p> <p>Collateral instructions o Loan repay instruction o Manage auto-collateralisation o Remove RCP credit limit</p> <p>Manage queued or diarised instructions o Remove/Activate diarised FSIs o Change diarisation option of diarised instructions o Resequence diarised instructions</p>	<p>Consolidated and classic position views</p> <p>Ad-hoc enquiries on o Accounting - account statements and interest o Settlement position - e.g. bank's position, account movements o Funds settlement - e.g. instruction details o Operating schedule o EOD reconciliation o Collateral - e.g. collateral list, collateral utilisation o Settlement participant and agreements - e.g. settlement bank details, LOM agreement details o Charges - charges levied, and RTGS charge invoice o Rates - interest and foreign exchange rates o Regional Cash Pool - limits and funds o System and message logs - message errors and incoming messages o Settlement bank's profile - standing intra-account funding arrangements and own profile instructions o Instruction audit logs - logbroker entry list (for trouble-shooting)</p>	<p>Standard reports on o Actual operating schedule o Bank's collateral list o Bank's position: Account movements o Bank's position: Diarised per FSVD o Bank's position: Diarised per reason o Bank's position: Volumes for current FSVD o Charges levied o Collateral instruction list o Collateral list utilisation report o EOD reconciliation o Final electronic LOM account statement o Final electronic RCP trust account statement o Final electronic consolidated account statement o Final electronic loan account statement o Final electronic settlement account statement o FSI volumes and values per originator o Funds settlement instruction list o Intra-account transfer instruction list o LOM account statement o Loan account statement o Manage FSI instruction list o Own profile instruction list o RCP trust account statement o Settlement account statement</p>	<p>Business control - warning notifications on o Collateral utilisation exceeds overall credit limit o Conversion of certificates of deposit o Insufficient funds warnings o Outstanding diarised FSI warning (Single) o Overnight credit arrangement notification o Overnight funding arrangement failure o Partially secured loan not rectified warning o Partially secured loan rectified warning o Partially secured loan warning o RCP credit limit outstanding at RCP cut-off1 o RCP trust account balance outstanding at RCP cut-off1 o Unacceptable overnight collateral utilised warning o Unauthorised auto-collateralisation utilised at cut-off o Unauthorised loan at intraday loan cut-off o Unsecured external sources rectified o Unsecured external sources warning</p> <p>Business control LOM alerts on o LOM gridlock buster warning o RTM liquidity used for gridlock busting</p> <p>Generic unsuccessful instruction notifications o Generic instruction error o Generic invalid instruction o Instruction discard (Originator) o Instruction discard (Participant)</p> <p>Information broadcast messages (broadcasted by central bank)</p>	<p>Notifications on 'Payer to activate diarised instructions</p> <p>RCP unsuccessful notifications o RCP credit limit remove unsuccessful o RCP trust account transfer unsuccessful</p> <p>Successful administration instruction notifications (changes made by the central bank) o Actual operating schedule change notification o Discretionary charge discard notification o Discretionary charge notification o Interest payable rate change notification o LOM agreement participant change notification o Local funds settlement calendar change notification o Maintain base interest rate notification o Maintain interest category notification o Pause, stop or resume settlement notification o Release/decline end-of-period FSI notification o Settlement deposit limit change notification o Standard operating schedule change notification o System interrupt notification o Unsecured loan interest rate change notification o Weekday change notification</p> <p>Successful and unsuccessful concurrent batch notifications (as submitted by ASA's) o Concurrent batch settled notifications (Net amount) o Concurrent batch discard (Net amount)</p>
Notes		<p>4-eye principle on single funds settlement instructions Single funds settlement instructions are eligible for the 4-eye principle. If a Kronos participant chooses to use the 4-eye principle for these instructions, users assigned to this profile will by default also be permitted to be the 1st authorisers for these instructions.</p> <p>4-eye principle on RCP intra-account transfers and remove RCP credit limit RCP related instructions are eligible for the 4-eye principle. If a Kronos participant chooses to use the 4-eye principle for these instructions, users assigned to this profile will by default also be permitted to be the 1st authorisers for these instructions.</p>		Standard reports require set up under 'Maintain standard report parameters'.		
<p>RTGS Registration - can generate FSI's, but not see bank's balance/position</p>	RTGS module only	<p>Fund settlement instructions Single funds settlement instructions o Receiver accept FSI o Standard FSI - MT202 o Standard FSI - MT103 Intra-account transfer instructions o Intra-account transfer instruction (LOM to LOM) o Intra-account transfer instruction (LOM to RCP Trust) o Intra-account transfer instruction (LOM to RTM) o Intra-account transfer instruction (RTM to LOM) o Intra-account transfer instruction (RTM to RCP Trust)</p> <p>Manage RAFSI accept instruction o RAFSI accept instruction (list and manual) o Remove an accepted RAFSI</p> <p>Role players profile instructions o Maintain role player own profile - e.g. position update interval o Maintain and override standing intra-account funding arrangements</p> <p>Manage queued or diarised instructions o Remove/Activate diarised FSIs o Change diarisation option of diarised instructions o Resequence diarised instructions</p>	<p>Ad-hoc enquiries on o Funds settlement - e.g. instruction details o Operating schedule o Settlement bank's profile - standing intra-account funding arrangements</p>	<p>Standard reports on o Actual operating schedule o Funds settlement instruction list o Intra-account transfer instruction list o Manage FSI instruction list</p>	<p>Generic unsuccessful instruction notifications o Generic instruction error o Generic invalid instruction o Instruction discard (Originator) o Instruction discard (Participant)</p> <p>Information broadcast messages (broadcasted by central bank)</p>	<p>Notifications on 'Payer to activate diarised instructions</p> <p>Successful administration instruction notifications (changes made by the central bank) o Actual operating schedule change notification o Local funds settlement calendar change notification o Pause, stop or resume settlement notification o Standard operating schedule change notification o System interrupt notification o Weekday change notification</p> <p>Successful and unsuccessful concurrent batch notifications (as submitted by ASA's) o Concurrent batch settled notifications (Net amount) o Concurrent batch discard (Net amount)</p>
Notes		<p>4-eye principle on single funds settlement instructions Single funds settlement instructions are eligible for the 4-eye principle. If a Kronos participant chooses to use the 4-eye principle for these instructions, users assigned to this profile will by default also be permitted to be the 1st authorisers for these instructions.</p> <p>4-eye principle on RCP intra-account transfers RCP related instructions are eligible for the 4-eye principle. If a Kronos participant chooses to use the 4-eye principle for these instructions, users assigned to this profile will by default also be permitted to be the 1st authorisers for these instructions.</p>		Standard reports require set up under 'Maintain standard report parameters'. This profile does not have access to this function.		

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(as per Dec 2016)

KRONOS2 MODULE

INSTRUCTIONS ALLOWED

VIEWS / AD-HOC ENQUIRIES (AD-HOC REPORTS)

ENQUIRIES STANDARD REPORTS

NOTIFICATIONS RECEIVED IN WEB INBOX PART 1

NOTIFICATIONS RECEIVED IN WEB INBOX PART 2

<p>RTGS Reconciliation - reconciliation access only</p>	<p>RTGS module only</p>	<p>This profile does not have access to any instructions.</p>	<p>Ad-hoc enquiries on</p> <ul style="list-style-type: none"> o Accounting - account statements and interest o Settlement position - e.g. bank's position, account movements o Funds settlement - e.g. instruction details o Operating schedule o EOD reconciliation o Charges - charges levied, and RTGS charge invoice 	<p>Standard reports on</p> <ul style="list-style-type: none"> o Actual operating schedule o Bank's position: Account movements o Charges levied o EOD reconciliation o Final electronic LOM account statement o Final electronic RCP trust account statement o Final electronic consolidated account statement o Final electronic loan account statement o Final electronic settlement account statement o FSI volumes and values per originator o Funds settlement instruction list o Intra-account transfer instruction list o LOM account statement o Loan account statement o RCP trust account statement o Settlement account statement 	<p>Information broadcast messages (broadcasted by central bank)</p>	<p>Successful administration instruction notifications (changes made by the central bank)</p> <ul style="list-style-type: none"> o Actual operating schedule change notification o Discretionary charge discard notification o Discretionary charge notification o Interest payable rate change notification o Local funds settlement calendar change notification o Maintain base interest rate notification o Maintain interest category notification o Pause, stop or resume settlement notification o Settlement deposit limit change notification o Standard operating schedule change notification o System interrupt notification o Weekday change notification
<p>Notes</p>				<p>Standard reports require set up under 'Maintain standard report parameters'. This profile does not have access to this function.</p>		
<p>RTGS View only - view/read only access</p>	<p>RTGS module only</p>	<p>Role players profile instructions</p> <ul style="list-style-type: none"> o Maintain standard report parameters 	<p>Consolidated and classic position views</p> <p>Ad-hoc enquiries on</p> <ul style="list-style-type: none"> o Accounting - account statements and interest o Settlement position - e.g. bank's position, account movements o Funds settlement - e.g. instruction details o Operating schedule o EOD reconciliation o Collateral - e.g. collateral list, collateral utilisation o Settlement participant and agreements - e.g. settlement bank details, LOM agreement details o Charges - charges levied, and RTGS charge invoice o Rates - interest and foreign exchange rates o Regional Cash Pool - limits and funds o System and message logs -message errors and incoming messages o Settlement bank's profile - standing intra-account funding arrangements and own profile instructions o Instruction audit logs - logbroker entry list (for trouble-shooting) 	<p>Standard reports on</p> <ul style="list-style-type: none"> o Actual operating schedule o Bank's collateral list o Bank's position: Account movements o Bank's position: Diarised per FSVD o Bank's position: Diarised per reason o Bank's position: Volumes for current FSVD o Charges levied o Collateral instruction list o Collateral list utilisation report o EOD reconciliation o Final electronic LOM account statement o Final electronic RCP trust account statement o Final electronic consolidated account statement o Final electronic loan account statement o Final electronic settlement account statement o FSI volumes and values per originator o Funds settlement instruction list o Intra-account transfer instruction list o LOM account statement o Loan account statement o Manage FSI instruction list o Own profile instruction list o RCP trust account statement o Settlement account statement 	<p>Information broadcast messages (broadcasted by central bank)</p>	
<p>Notes</p>				<p>Standard reports require set up under 'Maintain standard report parameters'.</p>		

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(as per Dec 2016)

	KRONOS2 MODULE	INSTRUCTIONS ALLOWED	VIEWS/ENQUIRIES (REPORTS) ALLOWED	NOTIFICATIONS RECEIVED IN WEB INBOX
GCSD Monetary policy operations - access to instructions, views & reports	GCSD module only	Monetary policy operations <ul style="list-style-type: none"> o Bilateral agreements o Early mature o Manage queued trades o Market operations System settings <ul style="list-style-type: none"> o Participant settings o User preferences 	Holdings monitor view View trades View orders Reports on <ul style="list-style-type: none"> o Account holdings o Account Statement o Business control log o Transaction detail o Audit detail o Orders list o Trades list 	Market operations open notification Order status Trade discarded Trade queued Early maturity notification Transaction intervention action Collateral update for participant Information broadcast messages (broadcasted by central bank)
Notes		4-eye principle on monetay policy operations instructions Monetary policy operations instructions are eligible for the 4-eye principle. If a Kronos participant chooses to use the 4-eye principle for these instructions, users assigned to this profile will by default also be permitted to be the 1st authorisers for these instructions.		
GCSD Pledging of collateral - access to instructions, views & reports	GCSD module only	Securities <ul style="list-style-type: none"> o Pledge/Withdraw System settings <ul style="list-style-type: none"> o User preferences 	Securities pledge/withdraw view Reports on <ul style="list-style-type: none"> o Pledge / Withdraw list o Business control log o Transaction detail o Audit detail 	Pledge / Withdrawal response Collateral update for participant Information broadcast messages (broadcasted by central bank)
Notes		4-eye principle on pledge/withdraw instructions Pledge/withdraw instructions are eligible for the 4-eye principle. If a Kronos participant chooses to use the 4-eye principle for these instructions, users assigned to this profile will by default also be permitted to be the 1st authorisers for these instructions.		
GCSD Cash supply operations - access to instructions, views & reports	GCSD module only	Notes and coins operations <ul style="list-style-type: none"> o Collect / return Cash holdings System settings <ul style="list-style-type: none"> o User preferences 	Notes and coins view Reports on <ul style="list-style-type: none"> o Notes and coins inventory statement o Notes and coins transaction statement o Notes and coins transaction detail o Business control log o Transaction detail o Audit detail 	Notes and coins: Not enough coverage control Notes and coins: Transaction information and status Information broadcast messages (broadcasted by central bank)
Notes		4-eye principle on notes and coins instructions Notes and coins instructions are eligible for the 4-eye principle. If a Kronos participant chooses to use the 4-eye principle for these instructions, users assigned to this profile will by default also be permitted to be the 1st authorisers for these instructions.		
GCSD View only - view/read only access	GCSD module only	System settings <ul style="list-style-type: none"> o User preferences 	Reports on <ul style="list-style-type: none"> o Account holdings o Account Statement o Orders list o Trades list o Pledge / Withdraw list o Notes and coins inventory statement o Notes and coins transaction statement o Notes and coins transaction detail o Business control log o Transaction detail o Audit detail 	Information broadcast messages (broadcasted by central bank)
Notes				